

SCIP

LOAN

4

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 4/99

CB194

IMPORTANT: Please consult the "Instructions for Completing the Project Application" for assistance in completion of this form.

SUBDIVISION: City of Loveland CODE# 061-45108

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 09/8/01

CONTACT: John Goedde PHONE # (513) 721-5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

FAX (513) 721-0607

E-MAIL

PROJECT NAME: 4" Waterline Replacement

SUBDIVISION TYPE

(Check only 1)

- ☐ 1. County
☒ 2. City
☐ 3. Township
☒ 4. Village
☐ 5. Water/Sanitary District
 (Section 6119 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ☐ 1. Grant \$
☒ 2. Loan \$ 483,000
☐ 3. Loan Assistance \$

PROJECT TYPE

(Check Largest Component)

- ☐ 1. Road
☐ 2. Bridge/Culvert
☒ 3. Water Supply
☐ 4. Wastewater
☐ 5. Solid Waste
☐ 6. Stormwater

TOTAL PROJECT COST: \$483,000.00

FUNDING REQUESTED: \$483,000.00

DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ _____ LOAN ASSISTANCE: \$ _____
 SCIP LOAN: \$ 483,000 RATE: 0 % TERM: 20 yrs.
 RLP LOAN: \$ _____ RATE: _____ % TERM: _____ yrs.

(Check Only 1)

- ☒ State Capital Improvement Program
☐ Local Transportation Improvements Program

☐ Small Government Program

FOR OPWC USE ONLY

PROJECT NUMBER: C _____ / C _____ APPROVED FUNDING: \$ _____

Local Participation _____ %

Loan Interest Rate: _____

OPWC Participation _____ %

Loan Term: _____ years

Project Release Date: ____/____/____

Maturity Date: _____

OPWC Approval: _____

Date Approved: ____/____/____

SCIP Loan _____ RLP Loan _____

2002 SEP 20 PM 2:39

OFFICE OF NEW BURLINGTON
COUNTY ENGINEER

1.0 PROJECT FINANCIAL INFORMATION

FORCE ACCOUNT

1.1 PROJECT ESTIMATED COSTS:

TOTAL DOLLARS DOLLARS

(Round to

(Round to Nearest Dollar)

a.) **Basic Engineering Services:** \$.00

Preliminary Design \$.00

Final Design \$.00

Bidding \$.00

Construction Phase \$.00

Additional Engineering Services \$.00

*Identify services and costs below.

b.) **Acquisition Expenses:**

Land and/or Right-of-Way \$.00

c.) **Construction Costs:** \$ 483,000 .00

d.) **Equipment Purchased Directly:** \$.00

e.) **Permits, Advertising, Legal:** \$.00

(Or Interest Costs for Loan Assistance
Applications Only)

f.) **Construction Contingencies:** \$.00

g.) **TOTAL ESTIMATED COSTS:** \$ 483,000 .00

*List Additional Engineering Services here:

Service:

Cost:

1.2 PROJECT FINANCIAL RESOURCES:

(Round to Nearest Dollar and Percent)

	DOLLARS	%
a.) Local In-Kind Contributions	\$ <u> .00</u>	
b.) Local Revenues	\$ <u> .00</u>	<u> 0 </u>
c.) Other Public Revenues	\$ <u> .00</u>	
ODOT	\$ <u> .00</u>	
Rural Development	\$ <u> .00</u>	
OEPA	\$ <u> .00</u>	
OWDA	\$ <u> .00</u>	
CDBG	\$ <u> .00</u>	
OTHER _____	\$ <u> .00</u>	<u> 0 </u>
SUBTOTAL LOCAL RESOURCES:	\$ <u> .00</u>	
d.) OPWC Funds		
1. Grant	\$ <u> .00</u>	<u> 0 </u>
2. Loan	\$ <u>483,000 .00</u>	<u>100%</u>
3. Loan Assistance	\$ <u> .00</u>	
SUBTOTAL OPWC RESOURCES:	\$ <u>483,000 .00</u>	<u>100%</u>
e.) TOTAL FINANCIAL RESOURCES:	\$ <u>483,000 .00</u>	<u>100%</u>

1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local share funds required for the project will be available on or before the earliest date listed in the Project Schedule section.

ODOT PID# _____ Sale Date:
STATUS: (Check one)
 Traditional
 Local Planning Agency (LPA)
 State Infrastructure Bank

2.0 PROJECT INFORMATION

If project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: 4" Waterline Replacement

2.2 BRIEF PROJECT DESCRIPTION - (Sections A through C):

A: SPECIFIC LOCATION:PROJECT

Waterlines will be replaced on the following streets: Venice, Lowell, Elysian, Oriole, Hanna (Seyffer to Oriole), and Seyffer. Please see attached location vicinity map.

ZIP CODE: 45140

B: PROJECT COMPONENTS:

- 1.) Abandon existing 4" waterlines
- 2.) Install 8" waterline
- 3.) Install new fire hydrants

C: PHYSICAL DIMENSIONS / CHARACTERISTICS:

Total Project length is approximately 4300 LF.

D: DESIGN SERVICE CAPACITY:

Detail current service capacity vs. proposed service level.

Road or Bridge: Current ADT _____ Year:
Projected ADT: _____ Year:

Water/Wastewater: Based on monthly usage of 7,756 gallons per household, attach current rate ordinance. Current Residential Rate: Water - \$16.48\mo
Wastewater: \$32.75\month

Proposed Rate: \$

Stormwater: Number of households served:

2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 100 Years.

Attach Registered Professional Engineer's statement, with original seal and signature confirming the project's useful life indicated above and estimated cost.

3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT \$483,000 .00
TOTAL PORTION OF PROJECT NEW/EXPANSION \$.00

4.0 PROJECT SCHEDULE: *

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>11/02/02</u>	<u>06/01/03</u>
4.2 Bid Advertisement and Award:	<u>07 /01/03</u>	<u>07/21 /03</u>
4.3 Construction:	<u>08/01/ /03</u>	<u>06/ 30/04</u>
4.4 Right-of-Way/Land Acquisition:	<u> </u>	<u> </u>

* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by the CEO of record and approved by the commission once the Project Agreement has been executed. The project schedule should be planned around receiving a Project Agreement on or about July 1st.

5.0 APPLICANT INFORMATION:

5.1 CHIEF EXECUTIVE

OFFICER Fred Enderle
TITLE City Manager
STREET 120 W. Loveland Avenue
CITY/ZIP Loveland, Ohio 45140
PHONE (513) 683-0150
FAX (513) 683-6574
E-MAIL

5.2 CHIEF FINANCIAL

OFFICER William Taphorn
TITLE Director of Finance
STREET 120 W. Loveland Avenue
CITY/ZIP Loveland, Ohio 45140
PHONE (513) 683-0150
FAX (513) 683-6574
E-MAIL

5.3 PROJECT MANAGER

Joe Geers
TITLE Project Manager
STREET 120 W. Loveland Avenue
CITY/ZIP Loveland, Ohio 45140
PHONE (513) 683-0150
FAX (513) 683-6574
E-MAIL

Changes in Project Officials must be submitted in writing from the CEO.

6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Confirm in the blocks [] below that each item listed is attached.

- [X] A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- [X] A certification signed by the applicant's chief financial officer stating all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- [X] A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's original seal or stamp and signature.
- [NA] A cooperation agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- [NA] Projects which include new and expansion components and potentially affect productive farmland should include a statement evaluating the potential impact. If there is a potential impact, the Governor's Executive Order 98-VII and the OPWC Farmland Preservation Review Advisory apply.
- [] Capital Improvements Report: (Required by O.R.C. Chapter 164.06 on standard form)
- [X] Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your *local* District Public Works Integrating Committee.

7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

Frederick E. Enderle, City Manager
Certifying Representative (Type or Print Name and Title)


[Signature] 9/19/02
Signature/Date Signed

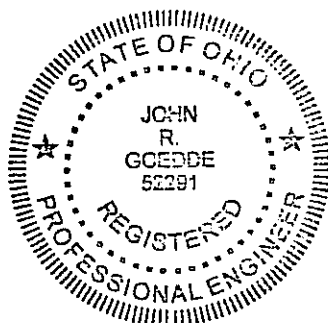
PROJECT: LOVELAND 4" WATERLINE REPLACEMENT
ENG. EST.: \$483,000.00

ENGINEER'S
ESTIMATE

DESCRIPTION	UNIT	QUANT	UNIT	TOTAL
8" DUCTILE IRON PIPE	LF	4,300	\$ 60.00	\$258,000.00
8" VALVE & VALVE BOX	EA	7	\$ 1,000.00	\$ 7,000.00
FIRE HYDRANT ASSEMBLY	EA	9	\$ 2,000.00	\$ 18,000.00
CLASS "C" CONCRETE	CY	20	\$ 50.00	\$ 1,000.00
3/4" COPPER SERVICE	LF	500	\$ 40.00	\$ 20,000.00
CURB/ROADWAY BOX	LS	50	\$ 200.00	\$ 10,000.00
CONTROLLED DENSITY BACKFILL	CY	2,000	\$ 30.00	\$ 60,000.00
CONNECT TO EXISTING SYSTEM	EA	3	\$ 2,000.00	\$ 6,000.00
MAINTAIN TRAFFIC	LS	1	\$ 10,000.00	\$ 10,000.00
CONSTRUCTION LAYOUT STAKES	LS	1	\$ 15,000.00	\$ 15,000.00
SEED & MULCH RESTORATION	SY	2,000	\$ 1.00	\$ 2,000.00
PAVEMENT RESTORATION	SY	2,400	\$ 20.00	\$ 48,000.00
HYDROSTATIC PRESSURE TEST	LS	1	\$ 5,000.00	\$ 5,000.00
CONTINGENCIES	LS	1	\$ 23,000.00	\$ 23,000.00
TOTAL ESTIMATED COST				\$483,000.00

I HEREBY CERTIFY THIS TO BE AN ACCURATE ESTIMATE OF THE PROPOSED PROJECT.
THE USEFUL LIFE OF THIS PROJECT IS 50 YEARS.


JOHN R. GOEDDE, P.E.






The City of Loveland

120 W. Loveland Avenue
Loveland, Ohio 45140

STATUS OF FUNDS CERTIFICATION

I hereby certify that the City of Loveland will use its Water Fund to repay the loan of \$483,000.00 for the four-inch (4") waterline replacement project.


Bill Taphorn, Finance Director
City of Loveland, Ohio

9-20-02
Date

Mayor and Council
513-683-0150
Fax 513-583-3040

City Manager and
Development
513-683-0150
Fax 513-583-3040

Finance
and Utilities
513-683-0150
Fax 513-583-3055

Building and Zoning
513-583-3045
Fax 513-583-3032

Police and Court
513-583-3000

Public Works and
Recreation
513-583-3050

Income Tax
513-583-3035
Fax 513-583-3037



The City of Loveland


120 W. Loveland Avenue
Loveland, Ohio 45140

Sept. 20, 2002

To Whom It May Concern:

I hereby certify that the attached is true and accurate copy of Resolution 2002 - 48

passed by Loveland City Council on August 13, 2002.


Tina Bunnell, Clerk of Council
City of Loveland, Ohio

RESOLUTION 2002 - 48

A RESOLUTION AUTHORIZING THE FILING OF AN
APPLICATION FOR STATE CAPITAL IMPROVEMENT PROGRAM
2003 FUNDS AND EXECUTION OF PROJECT AGREEMENT
WITH THE OHIO PUBLIC WORKS COMMISSION

WHEREAS, in order to be eligible for State Capital Improvement Program (S.C.I.P.) 2003 funds through the State of Ohio in conjunction with the Ohio Public Works Commission, it is necessary to file an application requesting said funds.

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Loveland, Hamilton, Clermont and Warren Counties, Ohio;

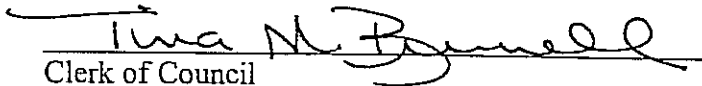
Section 1. That the City Manager be and he is hereby authorized and directed to file an application for 2003 S.C.I.P. funds to the District Public Works Integrating Committee.

Section 2. That the City Manager is also authorized and directed to execute a project agreement with the Ohio Public Works Commission with respect to the utilization of such funds.

Section 3. This Resolution shall take effect from and after its passage.

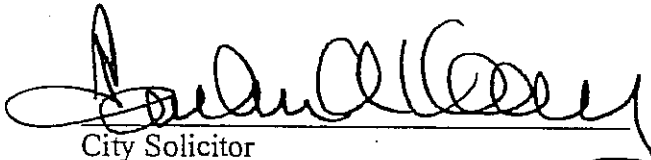


Mayor



Clerk of Council

Approved as to Form:



City Solicitor

Passed: Aug. 13th, 2002

**OHIO PUBLIC WORKS COMMISSION
LOAN SUPPLEMENT**

This supplement is required for all loan applicants.

Attach the following to the "Ohio Public Works Commission Application for Assistance"

- ☒ Copy of Legislation authorizing current rates.
- ☒ A statement from applicant's Chief Fiscal Officer certifying method of repayment.
- ☒ A copy of previous year Financial Statement.

Complete the following:

NUMBER OF CUSTOMERS	Water	Sewer
Residential	5235 5240	4769 4770
Commercial	280	280
Industrial		
Other		

SYSTEM EXPENDITURES ^{2003 Budget}	Water	Sewer
Operation Expenses	675,000 51,900	4,361,700 1,367,400
Debt Service Payments	406,450 456,000	0
Surplus	1,827,000 1,865,000	481,000 473,000
General Fund Transfer	0	0
Other C.I.	102,000 314,400	55,000 0

RATES	Water	Sewer
Current	See attached	
Last Increase (year and amount)	01/01/01 (3%) 1-1-02 (3%)	March 2000- ?
Planned Increase	01/01/02 (3%) ?	Unknown- ?

RATINGS

Moody's	S&P	General Obligation	Revenues
A1	None	None	None

DEBT OUTSTANDING

(do not include new OPWC loan)

	Total Debt Begin 2003	Annual Payment	Last Payment Date
Other OPWC loans	2,685,014 2,673,078	184,242	2021 2023
Revenue Bonds	2,480,000 2,155,000	319,126	2016 2018
GO Bonds	2,260,000 3,115,000	285,252	2017 2023
Other/ 1 year note	2,300,000	2,360,250	Sept. 2003

CITY OF LOVELAND, OHIO
DIRECTOR OF FINANCE

Bill Tophorn

9-19-02



The City of Loveland

120 W. Loveland Avenue
Loveland, Ohio 45140

September 17, 2002

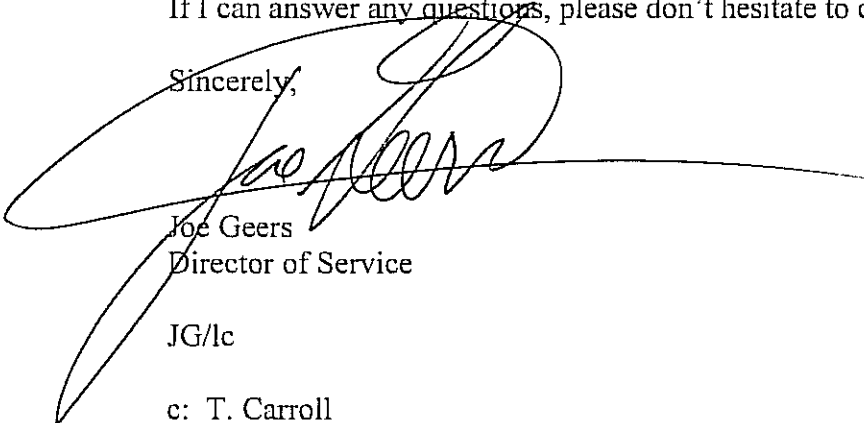
Jennifer Vatter
JMA Consultants, Inc.
2021 Auburn Avenue
Cincinnati, Ohio 45219

Dear Jennifer:

This letter is to confirm that the water lines in the City of Loveland are old cast iron lines that are anywhere from 75 to 100 years old. When these lines were installed, they used lead joints to seal the pipes to keep them from leaking. These old 4" lines are our biggest maintenance problem when it comes to water main leaks because the cast iron pipes crack very easily when the ground starts shifting during both the winter and summer months.

If I can answer any questions, please don't hesitate to contact me.

Sincerely,



Joe Geers
Director of Service

JG/lc

c: T. Carroll

we can't spell
success without



James D. Hunter
Fire Chief

Station 60
792-7330
Station 61
683-3730
Station 62
583-3001

Otto J. Huber
Assistant Fire Chief

Fire Headquarters
583-3001
Fax Line
583-3012
Station 63
683-3940

September 17, 2002

Mr. Tom Carroll, Assistant City Manager
City of Loveland
120 W Loveland Ave.
Loveland Ohio 45140

Dear Tom:

I am writing in reference to what I consider a very dangerous situation in the Forest Park Subdivision. This area of the city is fed by 4" water mains. Bourbon hydrants service these mains, in some areas. These hydrants only have 2 - 1/2" caps. The fire flow in this area is less than adequate for a single-family residence not to mention the larger apartment complexes in the same area. I would request that the City seek whatever means necessary to upgrade these mains to at least 8" if not 12".

I believe the safety of the community is at risk with these old mains and that priority should be given to this project.

I stand ready to discuss this with you or council as you see fit.

Sincerely,

LOVELAND-SYMMES FIRE DEPT.

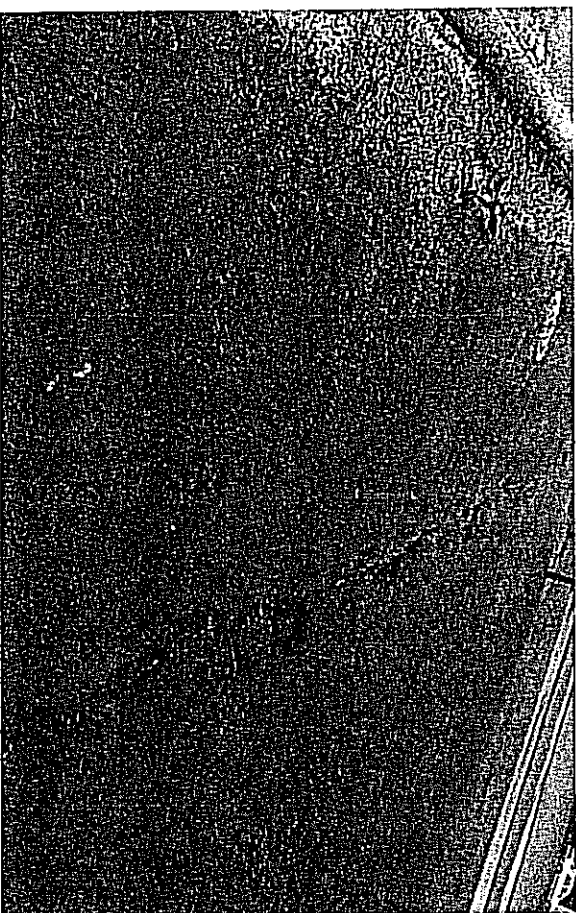
Otto Huber
Chief of Operations



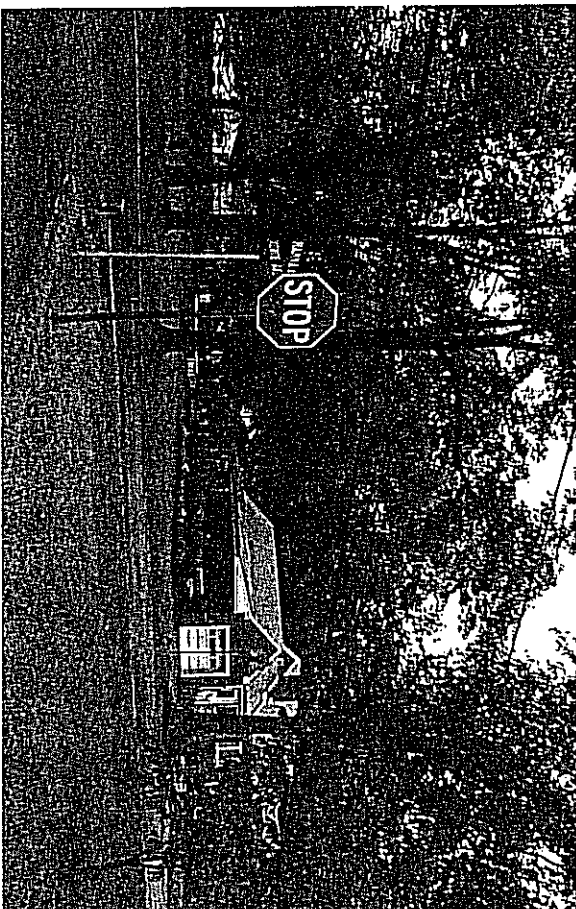
4" Waterline



Wyoming Avenue



Wyoming Avenue



Wyoming Avenue

ADDITIONAL SUPPORT INFORMATION

For Program Year 2003 (July 1, 2003 through June 30, 2004), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items, as noted, is required. The applicant should also use the rating system and its' addendum as a guide. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

IF YOU ARE APPLYING FOR A GRANT, WILL YOU BE WILLING TO ACCEPT A LOAN IF ASKED BY THE DISTRICT? X YES NO

(ANSWER REQUIRED)

Note: Answering "Yes" will not increase your score and answering "NO" will not decrease your score.

1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

Give a statement of the nature of the deficient conditions of the present facility exclusive of capacity, serviceability, health and/or safety issues. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded. Use documentation (if possible) to support your statement. Documentation may include (but is not limited to): ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application. Examples of deficiencies include: structural condition; substandard design elements such as widths, grades, curves, sight distances, drainage structures, etc.

The existing waterlines are over 75 years old and are nearing the end of their useful life (reference attached letter from Public Works Director) They are fitted with lead joints, and there has been increased maintenance on the lines in recent years (reference letter).

2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

Give a statement of the projects effect on the safety of the service area. The design of the project is intended to reduce existing accident rate, promote safer conditions, and reduce the danger of risk, liability or injury. (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

The existing lines are 4" and do not provide adequate capacity for fire protection (reference attached letter from Fire Chief). In addition, the homes in this area are located closely together, which makes the need for additional capacity even more important, as a fire can spread quickly from one structure to another. Safety of the residents in this area will be greatly improved as 8"lines will provide adequate fire protection for them.

3) How important is the project to the health of the Public and the citizens of the District and/or service area?

Give a statement of the projects effect on the health of the service area. The design of the project will improve the overall condition of the facility so as to reduce or eliminate potential for disease, or correct concerns regarding the environmental health of the area. (Typical examples may include the effects of the completed project by improving or adding storm drainage or sanitary facilities, replacing lead jointed water lines, etc.). Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

As stated in the attached letter from the City's Public Works Director, these pipes are fitted with the old-type lead joints, which poses a health risk for the residents in this area (see attached information on health risks of lead in drinking water). The new lines will eliminate the potential for these concerns regarding the environmental health of the area.

4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?

The jurisdiction must submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance.

Priority 1 4" Waterline Replacement

Priority 2 Sunrise Drive Drainage Improvements

Priority 3 _____

Priority 4 _____

Priority 5 _____

5) Will the completed project generate user fees or assessments?

Will the local jurisdiction assess fees or project costs for the usage of the facility or its products once the project is completed (example: rates for water or sewer, frontage assessments, etc.).

No _____ Yes X If yes, what user fees and/or assessments will be utilized?

Water user fees

6) Economic Growth – How will the completed project enhance economic growth

Give a statement of the projects effect on the economic growth of the service area (be specific).

There will be no significant impact on economic growth

7) Matching Funds - LOCAL

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (b) of the Ohio Public Works Association's "Application For Financial Assistance" form.

8) Matching Funds - OTHER

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (c) of the Ohio Public Works Association's "Application For Financial Assistance" form. If MRF funds are being used for matching funds, the MRF application must have been filed by August 10th of this year for this project with the Hamilton County Engineer's Office. List below all "other" funding the source(s).

9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district?

Describe how the proposed project will alleviate serious traffic problems or hazards (be specific).

N/A

For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS _____

Proposed LOS _____

If the proposed design year LOS is not "C" or better, explain why LOS "C" cannot be achieved.

10) If SCIP/LTIP funds were granted, when would the construction contract be awarded?

If SCIP/LTIP funds are awarded, how soon after receiving the Project Agreement from OPWC (tentatively set for July 1 of the year following the deadline for applications) would the project be under contract? The Support Staff will review status reports of previous projects to help judge the accuracy of a jurisdiction's anticipated project schedule.

Number of months 2

a.) Are preliminary plans or engineering completed? Yes X No _____ N/A _____

b.) Are detailed construction plans completed? Yes _____ No X N/A _____

c.) Are all utility coordination's completed? Yes _____ No X N/A _____

d.) Are all right-of-way and easements acquired (if applicable)?

Yes _____ No _____ N/A X

If no, how many parcels needed for project? _____ Of these, how many are: Takes _____

Temporary _____

Permanent _____

For any parcels not yet acquired, explain the status of the ROW acquisition process for this project.

e.) Give an estimate of time needed to complete any item above not yet completed. 6
Months.

11) Does the infrastructure have regional impact?

Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This project will primarily affect the residents of this area

12) What is the overall economic health of the jurisdiction?

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?

Describe what formal action has been taken which resulted in a ban of the use of or expansion of use for the involved infrastructure? Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits, etc. The ban must have been caused by a structural or operational problem to be considered valid. Submission of a copy of the approved legislation would be helpful.

N/A

Will the ban be removed after the project is completed? Yes ____ No ____ N/A X

14) What is the total number of existing daily users that will benefit as a result of the proposed project?

For roads and bridges, multiply current Average Daily Traffic (ADT) by 1.20. For inclusion of public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4. User information must be documented and certified by a professional engineer or the jurisdictions' C.E.O.

Traffic: ADT ____ X 1.20 = ____ Users

Water/Sewer: Homes 250 X 4.00 = 1,000 Users

15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure?

The applying jurisdiction shall list what type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for. (Check all that apply)

Other Fee, Levy or Tax X Specify type Impact Fee

SCIP/LTIP PROGRAM
ROUND 17 - PROGRAM YEAR 2003
PROJECT SELECTION CRITERIA
JULY 1, 2003 TO JUNE 30, 2004

NAME OF APPLICANT: LOWE/DAVID

NAME OF PROJECT: 4" WATERLINE REPLACEMENT

RATING TEAM: 2

NOTE: See the attached "Addendum To The Rating System" for definitions, explanations and clarifications to each of the criterion points of this rating system.

CIRCLE THE APPROPRIATE RATING

1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

- 25 - Failed
- 23 - Critical
- 20 - Very Poor
- 17 - Poor
- 15 - Moderately Poor
- 10 - Moderately Fair
- ☒ 5 - Fair Condition
- 0 - Good or Better

75 YEARS OLD (?)
(HOMES NO OLDER THAN 40 YEARS)
5 POINTS AWARDED - NO
BACKUP INFO. ON LEAKS PROVIDED.

Appeal Score _____

2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

- 25 - Highly significant importance
- ☒ 20 - Considerably significant importance
- 15 - Moderate importance
- 10 - Minimal importance
- 0 - No measurable impact

NOT 25 SINCE EXIST.
SYSTEM DOES STILL
FUNCTION.

Appeal Score _____

3) How important is the project to the health of the Public and the citizens of the District and/or service area?

- 25 - Highly significant importance
- 20 - Considerably significant importance
- 15 - Moderate importance
- ☒ 10 - Minimal importance
- ☒ 0 - No measurable impact

NO INFO. FROM CITY
PROVIDED TO
DOCUMENT HEALTH
RISK OTHER THAN SAYING
LEAD JOINTS.

Appeal Score _____

4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?

Note: Jurisdiction's priority listing (part of the Additional Support Information) must be filed with application(s).

- ☒ 25 - First priority project
- 20 - Second priority project
- 15 - Third priority project
- 10 - Fourth priority project
- 5 - Fifth priority project or lower

Appeal Score _____

5) Will the completed project generate user fees or assessments?

- 10 - No
- ☒ 0 - Yes

Appeal Score _____

- 6) Economic Growth – How the completed project will enhance economic growth (See definitions).
- 10 – The project will directly secure significant new employment
 7 – The project will directly secure new employment
 5 – The project will secure new employment
 3 – The project will permit more development
 0 – The project will not impact development
- 7) Matching Funds - LOCAL
- 10 – This project is a loan or credit enhancement
 10 – 50% or higher
 8 – 40% to 49.99%
 6 – 30% to 39.99%
 4 – 20% to 29.99%
 2 – 10% to 19.99%
 0 – Less than 10%
- 8) Matching Funds - OTHER
- 10 – 50% or higher
 8 – 40% to 49.99%
 6 – 30% to 39.99%
 4 – 20% to 29.99%
 2 – 10% to 19.99%
 1 – 1% to 9.99%
 0 – Less than 1%
- 9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district?
 (See Addendum for definitions)
- 10 - Project design is for future demand.
 8 - Project design is for partial future demand.
 6 - Project design is for current demand.
 4 - Project design is for minimal increase in capacity.
 2 - Project design is for no increase in capacity.
- 10) Ability to Proceed - If SCIP/LTIP funds are granted, when would the construction contract be awarded? (See Addendum concerning delinquent projects)
- 5 - Will be under contract by December 31, 2003 and no delinquent projects in Rounds 14 & 15
 3 - Will be under contract by March 31, 2004 and/or one delinquent project in Rounds 14 & 15
 0 - Will not be under contract by March 31, 2004 and/or more than one delinquent project in Rounds 14 & 15
- 11) Does the infrastructure have regional impact? Consider origination and destination of traffic, functional classifications, size of service area, and number of jurisdictions served, etc. (See Addendum for definitions)
- 10 - Major impact
 8 -
 6 - Moderate impact
 4 -
 2 - Minimal or no impact

Appeal Score

Appeal Score

Appeal Score

12) What is the overall economic health of the jurisdiction?

- 10 Points
- 8 Points
- 6 Points
- 4 Points
- 2 Points

13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?

- 10 - Complete ban, facility closed
- 8 - 80% reduction in legal load or 4-wheeled vehicles only
- 7 - Moratorium on future development, *not* functioning for current demand
- 6 - 60% reduction in legal load
- 5 - Moratorium on future development, functioning for current demand
- 4 - 40% reduction in legal load
- 2 - 20% reduction in legal load
- 0 - Less than 20% reduction in legal load

Appeal Score

14) What is the total number of existing daily users that will benefit as a result of the proposed project?

- 10 - 16,000 or more
- 8 - 12,000 to 15,999
- 6 - 8,000 to 11,999
- 4 - 4,000 to 7,999
- 2 - 3,999 and under

Appeal Score

15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure? (Provide documentation of which fees have been enacted.)

- 5 - Two or more of the above
- 3 - One of the above
- 0 - None of the above

Appeal Score

*ALSO CITES 'IMPACT
FEE' UNLESS THAT
15.*

ADDENDUM TO THE RATING SYSTEM

General Statement for Rating Criteria

Points awarded for all items will be based on engineering experience, field verification, application information and other information supplied by the applicant, which is deemed to be relevant by the Support Staff. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

Criterion 1 - Condition

Condition is based on the amount of deterioration that is field verified or documented exclusive of capacity, serviceability, health and/or safety issues. Condition is rated only on the facility being repaired or abandoned. (Documentation may include: ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application.)

Definitions:

Failed Condition - requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: complete removal and replacement of bridge; Underground: removal and replacement of an underground drainage or water system; Hydrants: completely non functioning and replacement parts are unavailable.)

Critical Condition - requires moderate or partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway/curbs can be saved; Bridges: removal and replacement of bridge with abutment modification; Underground: removal and replacement of part of an underground drainage or water system; Hydrants: some non-functioning, others obsolete and replacement parts are unavailable.)

Very Poor Condition - requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: superstructure replacement; Underground: repair of joints and/or minor replacement of pipe sections; Hydrants: non-functioning and replacement parts are available.)

Poor Condition - requires standard rehabilitation to maintain integrity. (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: extensive patching of substructure and replacement of deck; Underground: insituform or other in ground repairs; Hydrants: functional, but leaking and replacement parts are unavailable.)

Moderately Poor Condition - requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: major structural patching and/or major deck repair; Hydrants: functional and replacement parts are available.)

Moderately Fair Condition - requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: minor structural patching, deck repair, erosion control.)

Fair Condition - requires routine maintenance to maintain integrity. (E.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor structural patching.)

Good or Better Condition - little to no maintenance required to maintain integrity.

Note: If the infrastructure is in "good" or better condition, it will **NOT** be considered for SCIP/LTIP funding unless it is an expansion project that will improve serviceability.

Criterion 2 – Safety

The jurisdiction shall include in its application the type of safety problem that currently exists and how the intended project would improve the situation. For example, have there been vehicular accidents attributable to the problems cited? Have they involved injuries or fatalities? In the case of water systems, are existing hydrants non-functional? In the case of water lines, is the present capacity inadequate to provide volumes or pressure for adequate fire protection? In all cases, specific documentation is required.

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply. Examples given above are NOT intended to be exclusive.

Criterion 3 – Health

The jurisdiction shall include in its application the type and seriousness of the health problem that would be eliminated or reduced by the intended project. For example, can the problem be eliminated only by the project or would routine maintenance be satisfactory? If basement flooding has occurred, was it storm water or sanitary flow? What complaints if any are recorded? In the case of underground improvements, how will they improve health if they are storm sewers? How would improved sanitary sewers improve health or reduce health risk? Are leaded joints involved in existing water line replacements? In all cases, specific documentation is required.

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply. Examples given above are NOT intended to be exclusive.

Criterion 4 – Jurisdiction’s Priority Listing

The jurisdiction **must** submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance. The form is included in the Additional Support Information.

Criterion 5 – Generate Fees

Will the local jurisdiction assess fees or project costs for the usage of the facility or its products once the project is completed (example: rates for water or sewer, frontage assessments, etc.). The applying jurisdiction must submit documentation.

Criterion 6 – Economic Growth

Will the completed project enhance economic growth and/or development in the service area?

Definitions:

Directly secure significant new employment: The project is specifically designed to secure a particular development/employer(s), which will add at least 100 or more new employees. The applicant agency must supply specific details of the development, the employer(s), and number of new permanent employees.

Directly secure new employment: The project is specifically designed to secure development/employers, which will add at least 50 new permanent employees. The applying agency must supply details of the development and the type and number of new permanent employees.

Secure new employment: The project is specifically designed to secure development/employers, which will add 10 or more new permanent employees. The applying agency must submit details.

Permit more development: The project is designed to permit additional business development. The applicant must supply details.

The project will not impact development: The project will have no impact on business development.

Note: Each project is looked at on an individual basis to determine if any aspects of this category apply.

Criterion 7 – Matching Funds - Local

The percentage of matching funds which come directly from the budget of the applying local government.

Criterion 8 – Matching Funds - Other

The percentage of matching funds that come from funding sources other than those mentioned in Criterion 7.

Criterion 9 – Alleviate Traffic Problems

The jurisdiction shall provide a narrative, along with pertinent support documentation, which describe the existing deficiencies and showing how congestion or hazards will be reduced or eliminated and how service will be improved to meet the needs of any expected growth or development. A formal capacity analysis accompanying the application would be beneficial. Projected traffic or demand should be calculated as follows:

Formula:

$$\text{Existing users} \times \text{design year factor} = \text{projected users}$$

Design Year	Design year factor		
	Urban	Suburban	Rural
20	1.40	1.70	1.60
10	1.20	1.35	1.30

Definitions:

Future demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for twenty-year projected demand or fully developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

Partial future demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for ten-year projected demand or partially developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

Current demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service only for existing demand and conditions.

Minimal increase – Project will reduce but not eliminate existing congestion or deficiencies and will provide a minimal but less than sufficient increase in existing capacity or service for existing demand and conditions.

No increase – Project will have no effect on existing congestion or deficiencies and provide no increase in capacity or service for existing demand and conditions.

Criterion 10 - Ability to Proceed

The Support Staff will assign points based on engineering experience and OPWC defined delinquent projects. A project is considered delinquent when it has not received a notice to proceed within the time stated on the original application and no time extension has been granted by the OPWC. A jurisdiction receiving approval for a project and subsequently canceling the same after the bid date on the application may be considered as having a delinquent project.

Criterion 11 - Regional Impact

The regional significance of the infrastructure that is being repaired or replaced.

Definitions:

Major Impact - Roads: major multi-jurisdictional route, primary feed route to an Interstate, Federal Aid Primary routes.

Moderate Impact - Roads: principal thoroughfares, Federal Aid Urban routes

Minimal / No Impact - Roads: cul-de-sacs, subdivision streets

Criterion 12 – Economic Health

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

Criterion 13 - Ban

The jurisdiction shall provide documentation to show that a facility ban or moratorium has been formally placed. The ban or moratorium must have been caused by a structural or operational problem. Points will only be awarded if the end result of the project will cause the ban to be lifted.

Criterion 14 - Users

The applying jurisdiction shall provide documentation. A registered professional engineer or the applying jurisdictions' C.E.O must certify the appropriate documentation. Documentation may include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

Criterion 15 – Fees, Levies, Etc.

The applying jurisdiction shall document (in the "Additional Support Information" form) which type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for.